Positive psychology – the second wave

Tim Lomas delves into the dialectical nuances of flourishing

It is nearly 20 years since Martin Seligman used his American Psychological Association presidential address to inaugurate the notion of ‘positive psychology’. The rationale for its creation was Seligman’s contention that psychology had tended to focus mainly on what is wrong with people: on dysfunction, disorder and distress. There were of course pockets of scholarship that held a candle for human potential and excellence, like humanistic psychology. Nevertheless, Seligman argued that on the whole, concepts such as happiness did not attract much attention or credibility in mainstream psychology. Emerging to redress this lacuna, positive psychology soon became a fertile new paradigm, encompassing research into a panoply of processes and qualities that could be deemed ‘positive’, from overarching constructs such as flourishing to more specific concepts like hope.

Of course, none of this was radically new: many of these topics had been studied empirically for years by scholars in disparate fields, and indeed had been debated for centuries, millennia even. However, part of the appeal of the new field was that it created a conceptual space where these diverse topics – all of which shared the ‘family resemblance’ (à la Wittgenstein) of pertaining to wellbeing – could be brought together and considered collectively. Thus, as a novel branch of scholarship focused specifically and entirely on ‘the science and practice of improving wellbeing’ (Lomas et al., 2015, p.1347), it was a welcome new addition to the broader church of psychology.

However, positive psychology was not without its critics. A prominent focus of concern was the very notion underpinning the entire field. Essentially, positive psychology appeared to be promulgating a rather polarising positive-negative dichotomy. Certain phenomena were labelled as positive, and thus presented as inherently desirable. The necessary corollary, of course, is that contrasting phenomena were implicitly conceptualised as negative, positioned as intrinsically undesirable. So, for example, optimism tended to be valorised as an unmitigated good, and pessimism as a categorical impediment to wellbeing. Some scholars did paint a more nuanced picture; for instance, Seligman (1990, p.292) cautioned that one must be ‘able to use pessimism’s keen sense of reality when we need it’. However, in terms of the broader discourse of the field, and its cultural impact, a less nuanced binary message held sway.

While seemingly offering an upbeat message – linking positive emotions to beneficial outcomes, such as health (Fredrickson & Levenson, 1998) – this valorisation of positivity was problematic, for various reasons. Firstly, it often failed to sufficiently appreciate the contextual complexity of emotional outcomes. For instance, ‘excessive’ optimism can be harmful to wellbeing (e.g. contributing to underappreciation of risk), while pessimism may be beneficial, such as when it prompts proactive coping (Norem, 2001). Of even greater concern was Held’s (2002, p.965) suggestion that this emphasis on positivity contributed to a ‘tyranny of the positive’, to the cultural expectation that one should be upbeat, with social censure for people who could not find the requisite positivity. Similarly, in the work area, Ehrenreich (2009) accused organisations of compelling forced jollity as a way of hindering dissent and cajoling more out of workers.

Perhaps most perniciously, this ‘tyranny’ fed into a pervasive cultural discourse in which negative emotional states are not simply seen as undesirable, but pathological. As Horwitz and Wakefield (2007) suggest in The Loss of Sadness, dysphoric emotions that were previously regarded as natural and inherent dimensions of the human condition have largely been re-framed as positive thinking fooled America and the world. London: Granta.


Lazarus, R.S. (2003). The Lazarus manifesto for positive psychology and...
disorders, and certainly as problematic. Positive psychology arguably contributed, albeit unwittingly, to this process.

**Blending light and dark**

The above critiques could be regarded as undermining positive psychology. However, my colleagues and I take a different view: stimulated by these concerns, we feel the field is responding receptively, evolving into what we describe as ‘second wave’ positive psychological approaches (Ivtzan et al., 2015; Lomas & Ivtzan, 2015; Wong, 2011). If the ‘first wave’ is characterised by valorisation of the positive, SWPP recognises that wellbeing actually involves a subtle, dialectical interplay between positive and negative phenomena. This recognition challenges that idea that wellbeing is coterminous with constructs like ‘happiness’, rather, it becomes a more expansive term, one that includes negative emotions if these serve some broader sense of ‘being/doing well’. For instance, Pollard and Davidson (2001, p.10) define wellbeing as ‘a state of successful performance across the life course integrating physical, cognitive and social-emotional function’. One could see how ostensibly negative emotions, like prudential anxiety, could subserve this larger goal. More specifically, SWPP is underpinned by four dialectical principles: appraisal; co-valence; complementarity; and evolution.

The principle of appraisal states that it can be hard to categorise phenomena as either positive or negative, since such appraisals are fundamentally contextually dependent. For instance, as noted above, ‘excessive’ optimism can lead to miscalculations of risk, whereas pessimism may promote prudence. Prosocial emotions like forgiveness can be harmful if it means one tolerates a situation that one might otherwise resist, whereas ‘anti-social’ emotions like anger can impel one to resist inequities, and drive progressive social change (McNulty & Fincham, 2011). Even happiness and sadness are not immune from such considerations. Superficial forms of happiness might forestall efforts to pursue deeper states of fulfilment, or tranquilise us into acquiescing to social contexts that ultimately undermine our wellbeing. Conversely, sadness may be thoroughly appropriate, such as in response to loss; it may even have real salutary value, a humane response to suffering perhaps, or a refined aesthetic response to transient beauty. As we dwell on such considerations, clear-cut determinations of ‘positive’ and ‘negative’ become harder to make.

It is not just that such appraisals are difficult; the second principle of co-valence reflects the idea that many phenomena comprise positive and negative elements (Lazarus, 2003). This is even so for arguably the most cherished of all human phenomena: love. While there are many forms of love – from the passion of eros to the selflessness of agape – all are a dialectical blend of light and dark. There are many ways of viewing this dialectic, but all are variations on the poignant lamentation of C.S. Lewis (1971): ‘To love at all is to be vulnerable. Love anything and your heart will be wrung and possibly broken.’ Thus, even while love contains pleasure, joy and bliss, it also harbours worry, anxiety, and fear. However, this recognition of co-valence leads us inexorably to the third principle: complementarity. The potential dysphoria inherent in love is not an aberration, but the very condition of it. ‘Is it light and dark of love are inseparable, complementary and co-creating sides of the same coin. Consider that the stronger and more intense one’s love for another, the greater the risk of heartbreak. As Bauman (2013, p.6) eloquently puts it, ‘to love means opening up to that most sublime of all human conditions, one in which fear blends with joy into an alloy that no longer allows its ingredients to separate’.

Finally, the principle of evolution contextualises the very idea of SWPP. Just as SWPP is defined by an appreciation of dialectics, it is itself an example of a dialectical process, in Hegel’s sense of thesis-antithesis-synthesis. One might view mainstream psychology, with its apparent concern with ‘negative’ aspects of human functioning, as the thesis. In critiquing this and embracing ostensibly positive phenomena, positive psychology presented itself as the antithesis. However, critics subsequently discerned flaws in this antithesis. Crucially though, this does psychology in general. Psychological Inquiry, 14(2), 173–189.


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Meet the author

When I was offered a lectureship in positive psychology in 2013, it was wonderful to become immersed in this exciting area. But part of me found the field somewhat daunting. It often gave the impression of being so relentlessly upbeat that, if you weren’t swept up by this spirit of positivity, you could feel like an outsider. It soon transpired that some other colleagues and students felt something similar.

As we opened up to these ideas, the field started to feel more real, more human, closer to the ambivalent, fluctuating blend of light and dark that characterises most people’s lives. We hope that this second wave of the field will be useful not only to those who are already enjoying the warm sun of positivity, but also to all people, even – or rather, especially – during times of darkness.

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not necessarily mean an abandonment of positive psychology; a reversion to the original thesis. Rather, the next stage is ideally synthesis, in which the truths of both thesis and antithesis are preserved, while their flaws are overcome. SWPP is just such a synthesis, moving away from a binary classification of phenomena – valourising positivity while condemning negativity – towards a more nuanced appreciation of the dialectical complexities of wellbeing (King, 2001).

**Delving into the nuances**

This exploration of the dialectical nuances of flourishing, the delicate interplay of light and dark, can take many forms. One addresses another prominent criticism of positive psychology: that its conceptualisations of wellbeing are rather culturally specific, reflecting the North American context in which the field emerged (Lomas, 2015). While concepts in positive psychology have largely been derived from research with ‘WEIRD’ participants – Western, Educated, Industrialised, Rich and Democratic (Henrich et al., 2010) – the field has often tended to presume that these findings can be generalised to other cultures. Mindful of these critiques, positive psychology is becoming increasingly appreciative of cultural differences in constructions and experiences of wellbeing.

For my own part, this burgeoning cross-cultural sensitivity has focused on language. More specifically, I have begun to create a lexicography of so-called ‘untranslatable words’ relating to wellbeing, gathered from across the world’s cultures. The general premise of the lexicography is that a culture’s values and traditions are encoded in its language, which in turn shapes the experiences and understanding of that culture’s members, a perspective broadly referred to as the Sapir-Whorf hypothesis. The more specific premise of the lexicography is that untranslatable words – words for which English purportedly lacks an equivalent term – offer a unique window onto concepts that may be particular to a given culture (Wierzbicka, 1997). Moreover, I speculate that this lexicography might help people (from all cultures) to develop a richer interior world, and experience and express new dimensions of wellbeing. This claim will require a programme of empirical inquiry to substantiate it.

In starting to build the lexicography, I undertook an analysis of 216 such words (Lomas, 2016). Moreover, through grounded theory analysis, I developed a conceptual ‘map’ of the terms, thereby expanding the nomological network of concepts within positive psychology. The words were organised into three overarching categories, each of which contained two main themes: feelings (comprising positive and complex feelings); relationships (comprising intimacy and prosociality); and character (comprising personal resources and spirituality). I shall finish here by elucidating this theme of complex feelings, as its words provide a beautiful illustration of the kind of ambivalent constructs that SWPP is concerned with.

Before introducing this theme, there are two general caveats relating to this project. Firstly, it can be difficult to understand a word in isolation without knowing how it relates to other linguistic terms in a system (the great insight of structuralism), or how it is deployed in context. That said, this does not mean that learning foreign words is impossible or valueless if these conditions are not met. Take, for instance, a word like karma, which has been adopted into English to refer broadly to causality with respect to ethics. Most English speakers who use this word probably do not know how it relates to other Sanskrit terms, nor its wealth of meanings in the context of Hindu and Buddhist teachings. Nevertheless, such speakers evidently find the word useful, and arguably deploy it in ways that are not completely discordant with its original meanings. Secondly, the definitions in this lexicography are neither complete nor final and canonical. For a start, words are polysemous; as such, each of these words would ideally have its own entire article, delving into its multidimensional nuances. Moreover, while the explanations here are based on definitions offered by dictionaries and scholars, my interpretations are inevitably subjective and partial (particularly since I’m from a WEIRD society myself!). However, I’m hoping that this lexicography will evolve with the help of scholars throughout the world, who may be able to add to and refine it in a spirit of collaboration. Indeed, a webpage has been set up for this purpose: www.drtimlomas.com/lexicography. With that in mind, we turn to the words themselves.

**From Wanderlust to yugen**

The theme of complex feelings contains concepts and processes that are beautifully ambivalent and co-valenced, and are thus emblematic of SWPP, reflecting the dialectical nature of flourishing. These are not all words for feelings per se, but include terms that either: (a) relate in some way to complex feelings; or (b) embody a dialectical mode of appreciation. Regarding the latter, arguably the exemplary concept in this respect is the Chinese notion of yin-yang (陰陽), associated with Taoism. Separately, yin means cloudy/overcast, and yang ‘in the sun’ (shone upon). Together, they imply the two sides of a mountain (one sunlit, one in shadow), and thus articulate the idea of ‘holistic duality’, i.e. that reality comprises co-dependent opposites. This notion is an overarching motif for this entire class of terms: in their various ways, the words here are a dialectical blend of positive and negative, light and dark, together creating a rich and complex sensibility.

Within this overall theme there are a number of subthemes. The first is an evocation of hope and anticipation. Words here are truly co-valenced, a tantalising blend of savouring the future, combined with fear that it will not come

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to pass. In Italian, magari – both an adverb and an interjection – roughly means ‘maybe,’ but also encompasses ‘in my dreams’ and ‘if only,’ encapsulating both a hopeful wish and wistful regret. Similarly, in Indonesian, the auxiliary verb belum means ‘not yet,’ but with an optimism that an event might yet happen. In German, Vorfreude is an intense, joyful anticipation derived from imagining future pleasures. Rather more melancholic is the Korean han (한), a culturally vital term expressing sorrow and regret, yet also embodying a quiet patience, hoping that whatever adversity is causing the sadness will eventually be righted.

Related to han are words pertaining to longing and yearning that are at the heart of their respective cultures (Silva, 2012; Wierzbiacka, 1997). In Portuguese, saudade is a melancholic longing or nostalgia for a person, place or thing that is far away, either spatially or in time; not only physically, but creatively and spiritually (Pesmen, 2000). Finally, the strange German term Waldensamkeit articulates the feeling of solitude when alone in the woods, a mysterious state described by (Schwartz, 2007, p.201) as the ‘pseudo-magical pull of the untamed wilderness; a place of living nightmares caught between the dreamscape and Fairyland.’

Finally, there are words capturing complex aesthetic states, evoked through contemplation of the transient mysteries of life. Japanese is particularly rich in these terms, possibly because Japanese culture has traditionally been steeped in dialectical models of cognition and appreciation (Uchida & Oghara, 2012). With these concepts, it feels like we are at the very heart of SWPP, so I shall end by dwelling on these in a little more depth.

The first term of interest is aware (哀れ). This expresses the bittersweetness of a brief, fading moment of transcendent beauty, while the compound mono no aware (物の哀れ) articulates the pathos of understanding that the world and its beauty are transient in this way. As expressed by Yoshida Kenkō (1283–1330), ‘If man were never to fade away like the dews of Adashino… how things would lose their power to move us! The most precious thing in life is its uncertainty’ (cited in Keene, 1967, p.7).

In Zen – a Buddhist tradition nearly synonymous with Japanese culture – the pre-eminent symbol of mono no aware is the cherry blossom, whose fragile efflorescence captivates attention during the bloom of spring. Crucially, appreciation of its beauty is heightened by awareness of its transience. Matsuo Bashō (1644–1694), arguably the greatest master, captured this sense with particular genius: ‘Summer grasses — the only remains; of warriors’ dreams. Thus, as Prusinski (2013, p.23) says, ‘the beauty lies not in the object itself, but in the whole experience, transformation, and span of time in which the object is present and changing.’

A second term at the heart of Japanese aesthetics is wabi-sabi, an intriguing counterbalance to the epimerahythm of mono no aware: wabi (侘) refers to imperfect beauty, and sabi (寂) to aged beauty. Whereas mono no aware points towards erosion, wabi-sabi reminds us that in this process of changing, a certain dignity is nonetheless retained. Think of the mysterious power of old ruins, and the reverential qualities of death and meaning they can evoke. This sense is depicted by Tanizaki (1933/2001, pp.11–12) in his classic exposition of Zen aesthetics in Praise of Shadows. He describes preferring a ‘pensive lustre to a shallow brilliance, a murky light that, whether in a stone or an artifact, bespeaks a sheen of antiquity… We love things that bear the marks of grime, soot, and weather, and we love the colors and the sheen that call to mind the past that made them.’

Finally, we have yugen (幽玄), described by Suzuki (1959/1973, pp.220–221) as evoking obscurity, mystery, unknowability, and yet not ‘utter darkness’. It reflects the notion that the elusive mysteries of existence may nevertheless be sensed in some inchoate, intuitive way. Moreover, yugen does not simply depict awareness of these strange depths, but the sense that one is moved to one’s core by these mysteries, without quite knowing why. The 13th-century Japanese poet Kamo no Chōmei characterises yugen thus: ‘It is like an autumn evening under a colorless expanse of silent sky. Somehow, as if for some reason that we should be able to recall, tears well uncontrollably’ (cited in Dyrness and Karkkainen, 2008, p.65).

Western psychology arguably has constructs that are similar to yugen, like the profound state of elevation Maslow (1972) labelled ‘peak experiences’. These go far beyond mere hedonic pleasure or even fulfilment, involving qualities like awe and self-transcendence. However, what is especially unusual and potent about yugen is the apparently ‘ordinary’ nature of the phenomena that can evoke it. This is reflected in this haiku by Bashō, often regarded as the ultimate expression of yugen (Watts, 1957): ‘On a withered branch; A crow is perched; In the autumn evening.’ Conceptualisations of peak experiences tend to imply that these can only be experienced on some literal or metaphorical (e.g. developmental) summit. With yugen though, there is the profound experience of the ordinary – which is within everyone’s reach — being revealed as extraordinary, as if lifting a veil into new dialectical modes of appreciation, and reveal hitherto hidden dimensions of flourishing.